Docket No.: 60188-597 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Hiroyuki YAMAUCHI

Serial No.: : Group Art Unit:

Filed: July 22, 2003 : Examiner:

For: SEMICONDUCTOR MEMORY DEVICE

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

## Serial No.:

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

Michael E Fogarty

Registration No. 36,139

600 13<sup>th</sup> Street, N.W. Washington, DC 20005-3096 (202) 756-8000 MEF:mlw

Facsimile: (202) 756-8087

Date: July 22, 2003

SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION IN AN APPLICATION						ATTY. DOCKET NO. SERL. 60188-597			IAL NO.				
						APPLICANT Hiroyuki YAMAUCHI							
(PTO-1449)						FILING DATE GROUP July 22, 2003			UP	P			
				U.S. PATE	ENT	DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Num	Document Number ber-Kind Code2 (if known)	Publication Da MM-DD-YYY		Name of Patentee or Appli Document	ted	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	B2	US	6,343,045	01/29/2002	:	Shau							
		US	5,583,821	12/10/1996		Rose et al.							
· · · · · · · · · · · · · · · · · · ·		US	5,257,238	10/26/1993		Lee et al.							
	ļ	US											
	<del> </del>	US											
·	<del> </del>	US											
		US										<del></del>	
		υs	· · · · · · · · · · · · · · · · · · ·							<del></del>	<del></del>		
		US						_					
		US											
		US											
		US											
	<u> </u>	US		SODEICN F	LATER	IT DOOLINGUTO							
FOREIGN PATENT DOCUMENTS  EXAMINER'S Foreign Patent Document Publication Date Name of Patentee or Pages, Columns, Lines Translate													
INITIALS	CITE NO.	Country Codes -Number 4-Kind Codes (If known)		MM-DD-YYYY			Pages, Columns, Lines Where Relevant Figures Appear		vant 💮	Translation			
	<u> </u>	<u> </u>			_					Yes		No	
	<del> </del>	<del> </del>			+								
	<del>                                     </del>				+								
					+				-				
					_								
			OTHER A	RT (Including Aut	hor, T	itle, Date, Pertinent Pages, E	tc.)		1				
EXAMINER'S INITIALS	EXAMINER'S Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book manazine												
			<del> </del>										
EXAMINER					ı	DATE CONCIDENCE							
EVAININGU						DATE CONSIDERED							

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.